Attorney's Docket Number: Applicant or Patentee:		3045.00002		PATENT
		Power et al.		
Serial or Pater	nt No.:			
Filed or Issued	l:	Herewith		<u>-</u>
Title:		ANTISENSE OLI	GODEOXYNUCLEOTIDES TNF-ALPHA	REGULATING
	VERIFIED STATEM (37 CFR 1.9(f) and	ENT CLAIMING SMA 1.27(d) — NONPROI	LL ENTITY STATUS FIT ORGANIZATION	
I hereby declar identified belov	e that I am an official v:	empowered to act on	behalf of the nonprofit org	anization
Name of Organ	nization:	The University of Ma	nitoba	· · · · · · · · · · · · · · · · · · ·
Organization	Address:	Administration Build	ling - Room 202	
		Winnipeg, Manitoba,	CANADA R3T 2N2	
Type of Organ	ization:			
X	University or other In	stitution of Higher Ed	lucation	
	Tax exempt under Int (26 USC 501(a) and 5	ternal Revenue Servic 01(c)(3)	e Code	
	America State:		Statute of State of the Unite	
			•	<u> </u>
Would Qualify as Tax Exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)3) if located int he United States of America (State:				tes of
	(Citation of Statute:		•	
ammonization of	defined in 37 CFR 1	9(e) for numboses of pa	tified above qualified as a aying reduced fees under So the invention as entitled a	ccuon ina
Described in:				
<u>X</u>	the specification filed	l herewith with title a	s listed above.	
	the application ident	ified above.		
	the patent identified above.			
I hereby decla	re that rights under	contract or law have	been conveyed to and rema	ain with the

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

(Small Entity-Nonprofit (Form 7-3) — Page 1 of 2

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or nonprofit organization under 37 CFR 1.9(3).

 Separate verified statements are required from each person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Each such per	rson, concern or organ	nization having ar	ıy rights i	nt he invention is listed below:
	No such person, cond	cern, or organizat	ion exists.	
X	Each such person, co	oncern or organiza	ation is lis	sted below.
NAME:	University Te	chnologies I	nternat	tional Inc.
ADDRESS:	609 14th Stre	et, NW, Suit	e 204	
	Calgary, Albe	rta, Canada	T2N 2A1	1
	_ IndividualX_	Small Business	N	onprofit Organization
NAME:				
ADDRESS:				<u>. </u>
	_ Individual	Small Business	N	onprofit Organization
resulting in loss	s of entitlement to sma	all entity status pr tenance fee due a	ior to pavi	ification of any change in status ing, or at the time of paying, the late on which status as a small
statements ma statements wer punishable by f Code, and that	ide on information ar e made with the know! Tine or imprisonment, o	nd belief are beli ledge that willful f or both, under Sec tements may jeop	eved to b false state ction 1001 ardize the	cnowledge are true and that all the true; and further that these ements and the like so made are of Title 18 of the United States evalidity of the application, any ement is directed.
Name of Perso	n Signing:	T.G. Falconer		
Title in Organi	zation:	Vice-President, A	dministra	ation
		Winnipeg, Manito	oba, Cana	da R3T 2N2
Signature:	Na		Date:	October 8, 1998
	(Small Entity-)	Nonprofit (Form 1	7-31 — Pac	re 2 of 2)

mall Entity-Nonprofit [Form 7-3] — Page 2

Form: small entity

Described in:

7045 00002	PATENT
Attorney's Docket No. 3045.00002	
Applicant or Patentae: C. Rower	and M. Mayne
Serial or Patent No:	
Filed or Issued:	ER QUIGODEOXINGLECTIDES REGULATING EXPRESSION OF THE-Q
107:	
VERIFIED STATEMENT (D (37 CFR 1.9(E)	ECLAPATION) CLAIMING SMALL ENTITY STATUS and 1.27(d) SMALL BUSINESS CONCERN
I heroby declare that I am:	- · ·
the owner of the	small business concern identified below:
ace of povery of	e small business condern empowered to the concern identified below:
	iversity Technologies International Inc.
	9 14th Street NW, Sults 204
	lgary, Alberta. Canada TZN 281
small business concern as 1.9(d), for purposes of paying 35. United States Code, in the those of its affiliates, does statement: (1) the number of over the previous fiscal year cime, part-time or temporary) year, and (2) concerns are indirectly, one concern cont third-party or parties contro	we identified small business concern qualifies as a fined in 13 CFR 121.3-18, and reproduced in 17 CFR fined in 13 CFR 121.3-18, and reproduced in 17 CFR or reduced fees under Section 41(a) and (b) of Title at the number of employees of the concern, including at the number of employees of the business concern is the average amployees of the business concern is the average of the concern of the persons employed on a full-basis during each of the pay periods of the fiscal affiliates of each other when, either directly or rols or has the power to control the other, or a la or has the power to control both.

(Small Entity-Small Business (Form 7-4)--Page 1 of 2)

the specification filed herewith.

patent referenced above.

If the rights held by the above-idencified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CPR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

25201	
, name	trate verified statements are required from each ed parson. concern or organization having rights to invention averting to their status as small entities. CFR 1.27)
NAME: SULL	persity of Manitoba
ADDRESS: AGII	CFR 1.27) versity of Manitoba injectation Suilding - Room 202
yih	nipeg, Manitoba, CANADA R3T 2N2
•	
Individus	Small Business x Mosprofit Organization
NAME:	
ADDRESS:	
Individu	al small Suminess Nonprofit Organization
I acknowledgeny change : prior to pay maintenance	the duty to file, in this application or patent, notification of in status resulting in loss of entitlement to small entity status fin status resulting in loss of entitlement to small entity status fing, or at the time of paying, the earliest of the issue fee or any fee due after the date on which status as a small entity is no longer far cpr 1.28(b)]
I hereby dec	clara that all statements made berein of my own knowledge are true and clara that all statements made belief are believed to be true; and atements made on information and belief are believed to be true; and atements made with the knowledge that willful false these statements were made with the knowledge that willful to both.

statements and the like so made are punishable by fine or imprisonment, or both,

under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any parent

issuing thereon, or any patent to which this verified scatement is directed.

Beverley Sheridan Name of Person Signing: President/Chief Specutive Officer Title in Organization: Address of Person Signing: 509 14th Street NW. Suite 204

Calgary, Alberts, Canada Tan 2A1

(Small Entity-Small Business (Form 7-4) -- Page 2 of 2)

Docket No. 3045.00002

Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Antisense Oligodeoxynucleotides Regulating Expression Of TNF-Alpha

the	specification of	of which						~	
(ch	eck one)								
	is attached he	ereto.							
X	was filed on	October 2	2, 1998		as Unite	d States Applic	ation No	or PCT	International
	Application Nu	umber	09/176,862						
	and was ame	nded on _				. <u>.</u>		_	
	•				(if	applicable)	•		•
								identified	specification,
kno	own to me to								
Sec any liste inve	ction 365(b) of PCT Internatied below and hentor's certification	f any forei onal appli nave also ate or PCT	gn application cation which identified beleastional	on(s) fo design low, by	r patent ated at l checking	or inventor's of east one count g the box, any	certificate ry other t foreign a	e, or Sec han the l pplication	tion 365(a) of United States, n for patent or
Pric	or Foreign App	lication(s)						Priority	Not Claimed
(Nu	mber)		(Country)			(Day/Month/Ye	ear Filed)		-
									
(Nu	mber)	-	(Country)			(Day/Month/Ye	ear Filed)		0
(Nu	mpātj		(Country)	·- ·- <u>-</u> ·	_	(Day/Month/Ye	ear Filed)		
	I he ince I acknown See I he See any liste inversion (Nu	(check one) ☐ is attached he ☐ was filed on Application No and was amed I hereby state that including the claim I acknowledge the known to me to Section 1.56. I hereby claim for Section 365(b) of any PCT Internati listed below and h inventor's certification which priority is	is attached hereto. Was filed on October 2 Application Number and was amended on I hereby state that I have reincluding the claims, as ame I acknowledge the duty to eknown to me to be mater Section 1.56. I hereby claim foreign price Section 365(b) of any foreign PCT International applicated below and have also inventor's certificate or PCT on which priority is claimed. Prior Foreign Application(s) (Number)	(check one) ☐ is attached hereto. ☐ was filed on ☐ October 22, 1998 Application Number ☐ 09/176,862 and was amended on ☐ hereby state that I have reviewed and including the claims, as amended by an I acknowledge the duty to disclose to the known to me to be material to patent Section 1.56. ☐ hereby claim foreign priority benefits Section 365(b) of any foreign application any PCT International application which listed below and have also identified be inventor's certificate or PCT International on which priority is claimed. Prior Foreign Application(s) (Number) (Country)	(check one) □ is attached hereto. ☑ was filed on October 22, 1998 Application Number 09/176,862 and was amended on I hereby state that I have reviewed and undersincluding the claims, as amended by any amendation I acknowledge the duty to disclose to the Unit known to me to be material to patentability Section 1.56. I hereby claim foreign priority benefits under Section 365(b) of any foreign application(s) for any PCT International application which design listed below and have also identified below, by inventor's certificate or PCT International applic on which priority is claimed. Prior Foreign Application(s) (Number) (Country)	(check one) □ is attached hereto. □ was filed on October 22, 1998 as Unite Application Number 09/176,862 and was amended on (if I hereby state that I have reviewed and understand the including the claims, as amended by any amendment relational to patentability as define Section 1.56. I hereby claim foreign priority benefits under Title 35 Section 365(b) of any foreign application(s) for patent any PCT International application which designated at lelisted below and have also identified below, by checking inventor's certificate or PCT International application has on which priority is claimed. Prior Foreign Application(s) (Number) (Country)	(check one) □ is attached hereto. □ was filed on October 22, 1998 as United States Application Number 09/176,862 and was amended on (if applicable) I hereby state that I have reviewed and understand the contents of the including the claims, as amended by any amendment referred to above I acknowledge the duty to disclose to the United States Patent and T known to me to be material to patentability as defined in Title 37, Section 1.56. I hereby claim foreign priority benefits under Title 35, United States Section 365(b) of any foreign application(s) for patent or inventor's cany PCT International application which designated at least one count listed below and have also identified below, by checking the box, any inventor's certificate or PCT International application having a filing daron which priority is claimed. Prior Foreign Application(s) (Number) (Country) (Day/Month/Yei) (Number) (Country) (Day/Month/Yei)	(check one) □ is attached hereto. □ was filed on October 22, 1998 as United States Application No Application Number 09/176,862 and was amended on (if applicable) I hereby state that I have reviewed and understand the contents of the above including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the United States Patent and Trademark known to me to be material to patentability as defined in Title 37, Code of Section 1.56. I hereby claim foreign priority benefits under Title 35, United States Code, Section 365(b) of any foreign application(s) for patent or inventor's certificate any PCT International application which designated at least one country other to listed below and have also identified below, by checking the box, any foreign a inventor's certificate or PCT International application having a filing date before on which priority is claimed. Prior Foreign Application(s) (Number) (Country) (Day/Month/Year Filed) (Number) (Country) (Day/Month/Year Filed)	(check one) is attached hereto. was filed on October 22, 1998 as United States Application No. or PCT Application Number 09/176,862 and was amended on (if applicable) I hereby state that I have reviewed and understand the contents of the above identified including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the United States Patent and Trademark Office known to me to be material to patentability as defined in Title 37, Code of Federa Section 1.56. I hereby claim foreign priority benefits under Title 35, United States Code, Section Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 395(b) of any foreign application which designated at least one country other than the listed below and have also identified below, by checking the box, any foreign application inventor's certificate or PCT International application having a filing date before that of ton which priority is claimed. Prior Foreign Application(s) Priority (Number) (Country) (Day/Month/Year Filed)

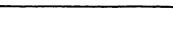
I hereby claim the benefit under 35 U.S.C. Section 119(e) of any chited States provisional

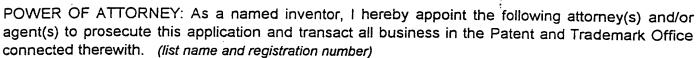
60/062,718	10-22-97	
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

	•	
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
[크 (Application Serial No.) [크	(Filing Date)	(Status) (patented, pending, abandoned)
្សា (Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.





Kenneth I. Kohn (30,955) Hene N. Montgomery (38,972)

Send Correspondence to: Hene N. Montgomery

Kohn & Associates

30500 Northwestern Highway, Ste. 410

Farmington Hills, MI 48334

Diffect Telephone Calls to: (name and telephone number)	
liene N. Montgomery (248) 539-5050	
Christopher Power	
Sole or first inventor's signature	Date TIL of G
Residence Calgary, Canada	
Citizenship US and Canadian	
Post Office Address 134 Edgeview Road, NW	
Calgary, Canada T3A 4N1	

, Date
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